

February 13, 2017

#### VIA ELECTRONIC FILING AND FEDEX

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

#### Re: <u>CleanChoice Energy, Inc. Renewal Application for Competitive Electric Power</u> Supplier License DM 16-237

Dear Ms. Howland:

Enclosed for filing on behalf of CleanChoice Energy, Inc. ("CleanChoice") is a renewal application for a Competitive Electric Power Supplier License. CleanChoice is currently licensed to serve customers in the New Hampshire Electric Cooperative Service territory as originally filed under Docket DM 13-360 and renewed under Docket DM 15-071 (both as Ethical Electric, Inc., prior to the company's name change).

Enclosed in this submission are an original and two copies of the renewal application as well as a check for the \$250 registration fee. Please note that CleanChoice is working to extend the surety bond currently on file with the NH PUC until at least April 15, 2018. CleanChoice will follow up with the PUC regarding its financial instrument as soon as possible.

Please contact the undersigned with any questions or concerns regarding this submission.

Respectfully Submitted,

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Kira S. Bryers Customized Energy Solutions P: 267-238-4785 Email: kbryers@ccs-ltd.com

On behalf of CleanChoice Energy, Inc.

CUSTOMIZED ENERGY SOLUTIONS, LTD. 1528 WALNUT STREET, 22<sup>ND</sup> FLOOR, PHILADELPHIA, PA 19102 Telephone 215-875-9440 – Facsimile 215-875-9490 www.ces-ltd.com Renewal application of CleanChoice Energy, Inc. for a Competitive Electric Power Supplier License as required by Puc 2003.01(a) and Puc 2003.02(b)

- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state, and, if available, its website address;
  - a. Legal Name: CleanChoice Energy, Inc.
  - b. Trade Names: CleanChoice Energy
  - c. Website address: www.cleanchoiceenergy.com
- (2) The applicant's business address, telephone number, e-mail address, and website address, as applicable;
  - a. 1055 Thomas Jefferson St. NW, Suite 650 Washington, DC 20007 202-591-2246
- (3) The applicant's place of incorporation, if anything other than an individual;
  - a. Maryland
- (4) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) if the applicant is anything other than an individual;
  - a. Please see Attachment A.
- (5) The following regarding any affiliate and/or subsidiary of the applicant that is conducting business in New Hampshire:
  - a. The name, business address and telephone number of the entity;
  - b. A description of the business purpose of the entity; and
  - c. A description of any agreements with any affiliated New Hampshire utility;
  - CleanChoice does not have any agent, affiliate, or subsidiary partners conducting business in New Hampshire, and does not have a relationship with any entities currently operating in New Hampshire.
- (6) The telephone number of the applicant's customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;
  - a. Kathleen Merrell, Customer Experience Manager Phone: 813-362-5994 Kathleen.Merrell@cleanchoiceenergy.com Toll Free Number: 1-888-444-9452 Email: support@cleanchoiceenergy.com
- (7) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to commission inquiries;
  - Amanda Leonardi, Quality Control Analyst 1055 Thomas Jefferson St. NW, Suite 650 Washington, DC 20007 Phone: <u>202-591-2250</u> Email: <u>complaintsupport@cleanchoiceenergy.com</u>

- (8) The name, title, business address, telephone number and e-mail address of the individual who is the applicant's registered agent in New Hampshire for service of process;
  - Vcorp Services, LLC
     C/O Registered Agenct, CleanChoice Energy, Inc.
     1 Old Loudon Rd.
     Concord, NH 03301
     Phone: 845-425-0077
     Email: sop@vcorpservices.com
- (9) A copy of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state, if anything other than an individual;
  - a. Please see Attachment B.
- (10) A listing of the utility franchise areas in which the applicant intends to operate. To the extent an applicant does not intend to provide service in the entire franchise area of a utility, this list shall delineate the cities and towns where the applicant intends to provide service;
  - a. CleanChoice Energy intends to provide service only in New Hampshire Electric Cooperative, Inc.'s service territory at this time.
  - b. CleanChoice Energy will meet its operational obligations to serve within this utility zone as demonstrated in Attachment C.
- (11) A description of the types of customers the applicant intends to serve, and the customer classes as identified in the applicable utility's tariff within which those customers are served;
  - a. CleanChoice Energy intends to serve residential and small commercial customers.
- (12) A listing of the states where the applicant currently conducts business relating to the sale of electricity;
  - a. Please see Attachment D.
- (13) A listing disclosing the number and type of customer complaints concerning the applicant or its principals, if any, filed with a state licensing/registration agency, attorney general's office or other governmental consumer protection agency for the most recent calendar year in every state in which the applicant has conducted business relating to the sale of electricity;
  - a. Please see Attachment E.

(14) A Statement as to whether the applicant or any of the applicant's principals, as listed in a. through c. below, have ever been convicted of any felony that has not been annulled by a court:

a. For partnerships, any of the general partners;

b. For corporations, any of the officers, directors or controlling stockholders; or

- c. For limited liability companies, any of the managers or members;
- None of CleanChoice Energy's officers, directors, or controlling stockholders have ever been convicted of a felony.

(15) A statement as to whether the applicant or any of the applicant's principals:

a. Has, within the 10 years immediately prior to registration, had any civil, criminal or regulatory sanctions or penalties imposed against them pursuant to any state or federal consumer protection law or regulation;

b. Has, within the 10 years immediately prior to registration, settled any civil, criminal or regulatory investigation or complaint involving any state or federal consumer protection law or regulation; or

c. Is currently the subject of any pending civil, criminal or regulatory investigation or complaint involving any state or federal consumer protection law or regulation;

a. None of CleanChoice's officers, directors, or controlling stockholders have ever been and are not currently the subject of civil, criminal, or regulatory sanctions or penalties.
b. Yes.

c. No.

(16) If an affirmative answer is given to any item in (14) or (15) above, an explanation of the event; Regarding (15)b. In May of 2015, CleanChoice (f/k/a Ethical Electric) signed an Assurance of Voluntary Compliance ("AVC") in response to a request of the Pennsylvania Attorney General ("PA AG"). In the AVC, Ethical Electric admitted no wrongdoing, but agreed to make changes to marketing and operational practices and procedures. Ethical Electric paid no fines, nor paid any restitution to customers. In August of 2016, CleanChoice (f/k/a Ethical Electric) signed an AVC in response to a request of the Illinois Attorney General ("IL AG"). In the AVC, Ethical Electric admitted no wrongdoing, but agreed to make changes to marketing and operational practice and procedures, to provide a credit of approximately \$10.00 to current and former customers, and to offer current and former customers the opportunity to request an additional refund if the customer felt misled by certain marketing claims.

(17) For those applicants intending to telemarket, a statement that the applicant shall:

a. Maintain a list of consumers who request being placed on the applicant's do-not-call list for the purposes of telemarketing;

b. Obtain monthly updated do-not-call lists from the National Do Not Call Registry; and c. Not initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or customers who are listed on the National Do Not Call Registry;

- Please see Attachment F.
- (18) For those applicants that intend not to telemarket, a statement to that effect;
   a. CleanChoice may use telemarketers. Telemarketing code of conduct and methods of oversight are detailed in Attachment F.

(19) A sample of the bill form(s) the applicant intends to use or a statement that the applicant intends to use the utility's billing service;

a. Please see Attachment G.

(20) A copy of each contract to be used for residential and small commercial customers; a. Please see Attachment H.

#### AFFIDAVIT #1 "Veracity of Statements"

State of WAGMINGTON DC : County of WASHINGTON DC ŝ

Chris Perrault, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the Chief Operating Officer of CleanChoice Energy, Inc.;

That he is authorized to and does make this Affidavit for said Applicant;

That CleanChoice Energy, Inc., the Applicant herein, certifies under penalty of false statement that all statements made in the application for licensure are true and complete and that it will also amend its application while the application is pending if any substantial changes occur regarding the information provided in the application within ten days of any such change.

That the facts above set forth are true and correct to the best of his knowledge, information, and believe, and that he expects said Applicant to be able to prove the same at any hearing hereof.

gnature of

**District of Columbia: SS** Subscribed and sworn to before me this day of Feb, 2017.

Notary Public, District of Columbia My commission expires on <u>8/3//</u>2/

Sworn and subscribed to me this \_\_\_\_\_ day of \_\_\_\_\_\_ day of \_\_\_\_\_\_\_ 72017.

JESSIE GONIO, NOTAR-

ture of official administering oath

My commission expires 8/31/2021



#### **Attachment A**

#### CleanChoice Energy – List of Officers

#### Thomas C. Mattzzie, President and CEO

1055 Thomas Jefferson St. NW Suite 650 Washington, DC 20007 Phone: 202-248-9900 Fax: 215-434-7145 Email: tom@cleanchoiceenergy.com

#### John Burke, Chief Financial Officer

1055 Thomas Jefferson St. NW Suite 650 Washington, DC 20007 Phone: 202-248-9900 Fax: 215-434-7145 Email: John.Burke@cleanchoiceenergy.com

#### **Chris Perrault, Chief Operating Officer**

1055 Thomas Jefferson St. NW Suite 650 Washington, DC 20007 Phone: 202-248-9900 Fax: 215-434-7145 Email: <u>Chris.Perrault@cleanchoiceenergy.com</u>

# Attachment B

# Business Registration in New Hampshire

Proof of registration with the New Hampshire Secretary of State is included in this attachment.

**Business Entity** 

NEW NAMPSHIRE

# **Corporation Division**

#### **Filed Documents**

Date: 2/10/2017 (Annual Report History, View Images, etc.)

By Business ID By Registered Agent Annual Report File Online Guideline Name Availability Name Appeal Process

For a blank Annual	Registration	Report,	click here.
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#### Business Name History

Name	Name Type				
CleanChoice Energy, Inc.	Legal Home State Prev Legal Prev Legal				
CleanChoice Energy, Inc.					
Ethical Electric Benefit Corp.					
Ethical Electric, Inc.					
Ethical Electric Benefit Co.	Prev Home State				
Ethical Electric, Inc.	Prev Home State				
Corporation - Foreign - Information					
Business ID:	696051				
Status:	Good Standing				
Entity Creation Date:	8/13/2013 MD				
State of Business .:					
Principal Office Address:	1055 Thomas Jefferson St. NW, Ste 650 Washington DC 20007				
Principal Mailing Address:	1055 Thomas Jefferson St. NW, Ste 650 Washington DC 20007				
Last Annual Report Filed Date:	3/10/2016 3:47:13 PM				
Last Annual Report Filed:	2016				
Registered Agent					
Agent Name:	Vcorp Services, LLC				
Office Address:	1 Old Loudon Road Concord NH 03301				
Mailing Address:					

# NEW! File Annual Report Online.

Important Note: The status reflected for each entity on this website only refers to the status of the entity's filing requirements with this office. It does not necessarily reflect the disciplinary status of the entity with any state agency. Requests for disciplinary information should be directed to agencies with licensing or other regulatory authority over the entity.

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# State of New Hampshire Department of State

#### AMENDED CERTIFICATE OF AUTHORITY OF

Ethical Electric, Inc.

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that an Application of Ethical Electric, Inc. for an Amended Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Deputy Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Amended Certificate of Authority to CleanChoice Energy, Inc. and attaches hereto a copy of the Application for such Amended Certificate.

Business ID#: 696051



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 20th day of June, 2016 A.D.

Way Benden

William M. Gardner Secretary of State

# State of New Hampshire

Filing fee: \$35.00 Use black print or type. Filed Date Filed: 06/20/2016 Business ID: 696051 William M. Gardner Secretary of State

Form 42 RSA 293-A:15.04

#### APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

PURSUANT TO THE PROVISIONS of the New Hampshire Business Corporation Act, the undersigned corporation hereby applies for an amended certificate of authority to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the corporation is: Ethical Electric, Inc.

SECOND: The name the corporation is currently using in the state of New Hampshire is: \_\_\_\_

Ethical Electric, Inc.

THIRD: The state or country of incorporation is: Maryland

FOURTH: The date the corporation was authorized to transact business in the state of New Hampshire is: \_\_\_\_\_August 13, 2013 \_\_\_\_\_,

FIFTH: This application is filed for the following reason (complete all applicable items);

a. The corporation has changed its corporate name to: CleanChoice Energy, Inc.

b. The name the corporation will hereafter use in the state of New Hampshire is changed to:\_\_\_\_

(Note 1).

c. The corporation has changed its period of duration to:

d. The corporation has changed the state or country of its incorporation to \_\_\_\_\_

Ethical Electric, Inc.	(Note 2
Corporate Harney	(Note 3
(Signature)/	
Tom Matzzie	
(Print or type name)	
President	(Note 3
(Title)	

May 2,2016 Date signed:

 
 State of New Hampshire

 DISCLAIMER: All docurr public inspection in either
 Form 42- Application for Amended Certificate of Authority 1 Page(s)
 ti will be available for

 Mail fee and DATED ANI Concord NH 03301-4885
 T1617245015
 ale, 107 North Main Street, 301.

Form 42 (7/2012)

# Attachment C

Pursuant to Puc 2003.01(d), including in this attachment is information on CleanChoice Energy's technical qualifications and ability to obtain supply in the New England energy market.

#### Exhibit C-1 "Technical Capability"

CleanChoice Energy has contracted with Customized Energy Solutions, Ltd. ("CES") to provide support in some of the operational aspects of its business. An explanation of the services Customized Energy Solutions will provide is detailed below.

CES is a leading provider of services to retail electricity suppliers. Their leadership and team have deep expertise in supporting the energy and utility functions for suppliers.

EDI Interactions with Utilities:

CES will support CleanChoice's EDI requirements directly with their own EDI solutions for customer enrollment, billing, and other needs. CES has engaged the utilities in the zones CleanChoice intends to service and has completed testing in the NHEC service area (testing certificate attached).

Energy Forecasting, Procurement, and Scheduling:

CES will procure necessary supplies for retail load in the PJM wholesale market in accordance with PJM's standards of practice and procedure, and will support CleanChoice's needs for energy forecasting, procurement, and scheduling. This includes:

- Short and long term forecasting
- Scheduling load based on short term load forecast
- Submitting CleanChoice load schedules in accordance with utility and ISO procedures
- ISO Settlement Services



579 Tenney Mountain Highway Plymouth, NH 03264-3154 www.nhec.coop 603-536-1800 / 800-698-2007

Test Acceptance Form

April 11, 2014

The undersigned agree that Ethical Electric, Inc. and New Hampshire Electric Cooperative (NHEC) have successfully completed electronic interchange testing for "LDC" option on April 11, 2014.

Subject to continuation of bilateral agreements between Ethical Electric, Inc. and NHEC and fulfillment of all other registration requirements as directed by the New Hampshire Public Utility Commission, Ethical Electric, Inc. may submit customer enrollment transactions electronically to NHEC upon NHEC acceptance of billing rates no less than ten (10) business days prior to Member enrollment or Member's next billing date for any such rate. Supplier rates and pricing options must conform to the rate structure in use by the Cooperative for each specific rate class and be supported by meters in place.

Competitive Supplier Company: Ethical Electric, Inc.
Competitive Supplier Business Contact Signature: Charles Parts Acceptance: 4/11/2014
Competitive Supplier Technical Contact Signature: Date of Test Acceptance:
Distribution Company: New Hampshire Electric Cooperative Inc.
Distribution Company Business Contact Signature: A William Bayard Date of Test Acceptance: 4/11/2014
Distribution Company Technical Contact Signature: Mary A. Patter Date of Test Acceptance: <u>4/11/2014</u>

# Exhibit C-2 "Evidence of Electric Generation Supply"

CleanChoice does not own any of its own generation and has not entered into any long term power purchase contracts. CleanChoice, through Customized Energy Solutions, plans to procure the electric generation supply required to serve its customers through the day ahead and spot markets, as it does in other jurisdictions where it is serving customers.

# Exhlbit C-2a "Registration with ISO"

Evidence that CleanChoice is registered and in good standing with NE ISO Is included in this exhibit.



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Participate > Participant and Asset Listings

# **Customer Directory**

Search the directory for details on and contact information for the entities registered with ISO New England, as well as on the committees and subgroups advising the ISO. For example, you can find:

- Each customer's name, address, stock symbol(s), industry sector, industry type/classification, committee membership, and NEPOOL voting status (if the customer is a member of the New England Power Pool)
- Committee and subcommittee or working group names, member lists, and the company association of members
- Download a CSV file of the Customer Directory

As you type you will be offered suggested results. Use your keyboard arrows or mouse to navigate the results.

# Company Details: CleanChoice Energy, Inc.

1055 Thomas Jefferson St. NW Ste 850 Washington, DC 20007

**Customer Details** 

CUSTOMER ID	SECTOR	TYPE	CLASSIFICATIO	N SUB- CLASSIF	ICATION	VOTING STATUS
105735	Supplier	Participant	Market Participan	t		Y
Committee N	lembers					
COMMITTEE	NAME	MEMBER NAME	TITLE	POSITION	ROLE	
NEPOOL Mark Committee	tets	John Burke	EVP, CFO	Member	Alternate	•

**Customer Directory** 

COMMITTEE NAME	MEMBER NAME	TITLE	POSITION	ROLE
NEPOOL Markets Committee	Tom Matzzie	President and CEO	Member	Member
NEPOOL Participants Committee	John Burke	EVP, CFO	Member	Alternate
NEPOOL Participants Committee	Tom Matzzie	President and CEO	Member	Member
NEPOOL Reliability Committee	Tom Matzzie	President and CEO	Member	Member
NEPOOL Reliability Committee	John Burke	EVP, CFO	Member	Alternate
Transmission Committee	Tom Matzzie	President and CEO	Member	Member
Transmission Committee	John Burke	EVP, CFO	Member	Alternate

Participant Related Persons

A Participant and its Related Persons (as defined in the Participants Agreement and Second Restated NEPOOL Agreement) are together entitled to join any one Sector and to have one vote in that Sector.

Votes for this company are cast by:

Self

This company also votes on behalf of:

None

Updating the Directory

Help keep the directory current — its accuracy is dependent on data in the ISO's Customer and Asset Management System (CAMS). To update data for your organization or committee, see:

- User guides for CAMS
- CAMS FAQs

#### Problems?

Contact Customer Support if you're having trouble with the directory.

APPLICATIONS GADS Reporting IRTT MARKETS AND OPERATIONS FORECASTING Morning Report



ABOUT US News and Media Careers CUSTOMER SUPPORT Contact Us Training

https://www.iso-ne.com/participate/participant-asset-listings/directory?id=105735&type=customer

#### 2/10/2017

ISO Express

Seven-Day Forecast Three-Day Forecast Power System Status



Legal and Privacy

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June 28, 2013

Ethical Electric Benefit Co. attn: Erika Schmitt c/o Customized Energy Solutions 1528 Walnut Street, 22nd Floor Philadelphia, PA 19102 eschmitt@ces-ltd.com

Dear Erika:

You have requested that the New England Power Pool ("NEPOOL"), a voluntary association of entities that participate in the wholesale electric markets in the six New England states, indicate to you whether Ethical Electric Benefit Co. ("Ethical Electric") is a member "in good standing in NEPOOL." This letter is in response to that request.

As of the date of this letter, Ethical Electric is a NEPOOL member, entitled to full rights and subject to full obligations of members as set forth in the Second Restated NEPOOL Agreement, which is NEPOOL's governing document. Ethical Electric was accepted for membership in NEPOOL effective as of January 1, 2013 pursuant to a FERC order issued January 29, 2013 in Docket No. ER13-688, subject to the conditions and waivers agreed to between NEPOOL and Ethical Electric as filed with the FERC in that Docket. Ethical Electric is also a Market Participant under the ISO New England Inc. ("ISO-NE") Transmission, Markets and Services Tariff ("Tariff") pursuant to a Market Participant Service Agreement by and between Ethical Electric and ISO-NE.<sup>1</sup> Ethical Electric is not in default of its obligations under the NEPOOL Agreement or the Tariff, which could otherwise result in a suspension or termination of Ethical Electric's status as a NEPOOL Participant and Market Participant. Ethical Electric has not requested termination of, nor has NEPOOL received an application or has a request pending for, the termination of Ethical Electric's status as a NEPOOL Participant or as a Market Participant under the Tariff.

Respectfu David T. Doot

Secretary

<sup>1</sup> That Agreement with Ethical Electric is reported by ISO-NE as MPSA No. 531.

#### Attachment D

The states where CleanChoice Energy currently conducts business relating to the sale of electricity are listed below.

Serving Customers: Delaware District of Columbia Illinois Maryland Massachusetts New Jersey New York Ohio Pennsylvania

Licensed: Connecticut New Hampshire Rhode Island

#### **Attachment E**

Consul		

State	Total Complaints	Disputed Enrollment	Marketing	Other	Responded To	
MA	C		0	0	0	0
ОН	2		2	0	0	2
NJ	3		1	0	2	3
PA	10		5	3	2	10
IL	11		6	3	2	11
MD	12		4	3	5	12
DC	24		15	1	8	24
NY	28		5	20	3	28
Total	90		38	30	22	90

Description of categories above:

- Disputed Enrollment complaint regarding slamming
- Marketing complaints regarding the mail piece, deceptive marketing complaints, and do not contact requests
- Other complaints in which the customer requested the cancellation of their account, billing related complaints, complaints in which the customer requested a goodwill credit, referenced the terms and conditions or requested a rate adjustment

# Attachment F

# <u>CleanChoice Energy – Marketing</u>

This attachment contains information on CleanChoice's marketing practices; all marketing practices are designed to comply with the regulations set forth in Puc 2000-2006.

#### Exhibit F-1 "Third Party Marketers"

In 2017 CleanChoice Energy plans to acquire customers directly through internal marketing and sales operations. These sales conversions will primarily be online. Prospective customers will be invited to enroll in CleanChoice Energy through online advertising and complete the process on a web form on CleanChoice's website—www.cleanchoiceenergy.com.

The company may test other forms of direct marketing including direct mail and affiliate marketing through membership organizations. We will use limited forms of telemarketing—calling prospective customers who contact the company first (warm leads) or in partnership with membership organizations calling their members. In all instances, CleanChoice Energy employees will operate in a manner consistent with the Company Code of Conduct included in Exhibit F-5.

The company will use third party verification where required.

CleanChoice Energy will maintain a customer service hotline for customers in New Hampshire at 1-888-444-9452.

#### Exhibit F-2 "Third Party Marketer Oversight"

#### a) How is service quality ensured;

CleanChoice Energy ensures the service quality of Third Party Marketers with which we contract by running each potential third party marketer through a rigorous vetting process before executing any service agreements, and engaging those marketers that we do contract with regularly throughout the duration of our working relationship. In addition to strict prequalification criteria and ongoing engagement, CleanChoice Energy contractually defines what is and is not acceptable within the context of our relationships with third party marketers on the front end by establishing binding legal terms service.

# b) Quantity of training for third party marketers or subcontractors; and

The third party marketers and subcontractors with which CleanChoice Energy does business are all professional firms that maintain high professional standards and have very specific training and quality control procedures in place. In addition, CleanChoice Energy provides all third party marketers specific instruction as to how we expect them to market our products to prospective customers.

#### c) Company oversight of any third party marketers or subcontractors.

CleanChoice Energy reviews a portion of all third party marketers' and subcontractors' previous day's activity on a daily basis. Additional attention is paid to any isolated incidents that seem out of the ordinary, and to any one firm (or representative from any specific firm) that has demonstrated sub-optimal performance in the past. We ask third party marketers and subcontracts to remove any agents from our account that are representing CleanChoice in any manner other than that which we have outlined upfront. Complaints about any subcontractors or third party marketers treated very seriously, handled in-house, and taken care of as quickly as possible.

#### Exhibit F-3 "Marketer Representation"

#### **CleanChoice Energy Marketing Standards Quality Assurance Plan**

CleanChoice Energy will implement the following procedures to ensure all representatives are marketing according to the standards of the New Hampshire Public Utility Commission:

1. We will train all representatives according to the standards of New Hampshire Code of Administrative Rules, Puc 2000-2006.

2. We will train all representatives that they are to provide the customer with a toll-free number from which the customer may obtain information about the CleanChoice Energy's mechanisms for handling billing questions, disputes, and complaints.

#### **TELEPHONE CONTACT**

CleanChoice Energy marketing representatives who contact customers by telephone for the purpose of selling any product or service offered by CleanChoice shall:

1. Provide the representative's first name and, on request, their identification number.

2. State that the call is being made on behalf of CleanChoice Energy.

3. Never represent that they are an employee or representative or acting on behalf of a distribution utility. In addition, they must clearly indicate that taking service from CleanChoice Energy will not affect the customer's distribution service and such service will continue to be provided by the customer's distribution utility.

4. State the purpose of the telephone call.

5. Where it is apparent that the customer's English language skills are insufficient to allow the customer to understand and respond to the information conveyed by our representative, or where the customer or another third party informs our representative of this circumstance, our representative will immediately transfer the customer to a representative who speaks the customer's language, if such a representative is available, or terminate the call.

6. Remove Customers' names from the marketing database upon Customers' request. Please see exhibit F-4 for information on CleanChoice Energy's do-not-call list maintenance procedures.

#### Exhibit F-4

#### Do-Not-Call List Policy

Pursuant to Puc 2006.01 (17) CleanChoice Energy shall:

- 1. Maintain a list of consumers who request being placed on the do-not-call list
- 2. Obtain monthly do-not-call lists from the National Do Not Call Registry
- Not initiate calls to New Hampshire customers who have either requested being placed on CleanChoice Energy's do-not call list or customers who are listed on the National Do Not Call Registry

#### **Do-Not-Call List Maintenance Procedures**

Pursuant to Puc 2004.03 (b)(2) CleanChoice Energy shall establish the following policy for maintaining a do-not-call list:

- 1. Maintain an up-to-date listing of New Hampshire customers and potential customers who have registered with the National Do Not Call Registry prior to conducting any phone solicitations
- 2. Provide notification to customers and potential customers that such a list is maintained and information about how to be placed on that list or a CleanChoice specific list
- 3. Institute training for personnel engaged in any aspect of telephone solicitation in the existence and use of the National Do Not Call Registry and a CleanChoice specific do-not-call list
- 4. Not make any telephone solicitations to any customer or potential customer who has registered with the National Do Not Call Registry or requested do-not-call treatment
- 5. Update the do-not-call list daily so that residential customers or potential customers requesting to not be called or to be placed on a do-not-call list are not called
- 6. CleanChoice does not forward or provide any customer information to third parties

#### Exhibit F-5 "Company Code of Conduct"

#### COMPANY CONDUCT

CleanChoice Energy and its representatives shall:

1. Not engage in misleading or deceptive conduct as defined by State or federal law, or by Department rule, regulation or Order

2. Not make false or misleading representations including misrepresenting rates or savings offered by CleanChoice;

3. Provide the customer with written information, upon request, or with a website address at which information can be obtained, if the customer requests such information via the internet;

4. Use reasonable efforts to provide accurate and timely information about services and products. Such information will include information about rates, contract terms, early termination fees and right of cancellation.

5. Ensure that any product or service offerings that are made by CleanChoice contain information written in plain language that is designed to be understood by the customer.

This shall include providing any written information to the customer in a language in which the CleanChoice representative has substantive discussions with the customer or in which a contract is negotiated;

6. Investigate customer inquiries and complaints concerning marketing practices within five days of receipt of the complaint, and respond in accordance with the Department's rules.

7. Cooperate with the Department regarding marketing practices.

# Exhibit G – Sample Bill

CleanChoice Energy intends to use the utility's billing service.

# Exhibit H - Customer Contract

This attachment contains a copy of a standard contract CleanChoice Energy uses for its residential and commercial customers.

# CleanChoice Energy, Inc. for [UTILITY] Residential

Customers Product Label-Fuel Typical grid power invour region is produced almost entirely from dirty fuels ike coal, oil, and gas. CleanChoice Energy ensures that 100% of your electricity comes from clean renewable sources with no carbon emissions or harmful pollutants. This chart compares the content of the electricity plan you have chosen with your region's fuel mix. [PLANDETAILS! This enrollment may be pending your acceptance with the utility. Under no conditions will savings

be guaranteed.

Disclosure Statement. Terms and Conditions

This disclosure statement, including the product summary, general terms, together with the enrollment authorization, is an agreement for electric generation services between you ("customer") and CleanChoice Energy, hc.

("CleanChoice" "we" or "us").

General Terms and Conditions

This Disclosure Statement, including these General Terms and Conditions, Product Summary, and Product Label together with your signed written enrollment form, electronic online enrollment record or recorded telephonic voice enrollment confirming your enrollment (the Errollment Authorization), are an agreement for electric generation service, between you and CleanChoice.

Background

CleanChoice is deemed eligible by the New Hampshire Public Utilities Commission, to offer and supply electric generation services in NH. We set the generation prices and charges that you pay. The New Hampshire Public Utilities Commission regulates distribution prices and services. The Federal Energy Regulatory Commission regulates transmission prices and services. You will receive a single bill from [UTILITY) (your electric distribution company [EDC]) that will contain [UTILITY) charges and CleanChoice charges.

Definitions

- Agreement: This Disclosure Statement (including these General Terms and Conditions and Product Summary), as well as your Enrollment Authorization, and any amendments to these documents from time to time.
- Regulating Body/Commission: New Hampshire Public Utilities Commission.
- Distribution Charge: Charge for delivering electricity over a distribution system to the home or business from the transmission system.
- Electric Distribution Company/Utility (EDC): Your EDC is [UTILITYJ.
- Generation Charge: Charge for production of electricity.

Lo urrul i lucondona						
Product	Clean Energy Option for [UTILITYI RESIDENTIAL Customers					
Length of the Agreement	[Month-to-month or Fixed]					
PerkWhPrice	[x]¢/kWh					
Fixed Price or	[Fixed or Variable]					
Variable Price						
Charges	Your bill will be calculated using the rate per kilowatt hour multiplied by kilowatt hours used.					
Customers who	250 kWh	500 kWh	750 kWh	1000	1500	2000
use	of	of	of	kWh of	kWh of	kWh of
	electricity	electricity	electricity	electricity	electricity	electricity
Will pay	\$[XI	\$[X1	\$[X]	\$rx1	\$[X]	\$[XI
Taxes	You must also pay all applicable federal, state, and local taxes and charges					
Sourcing/Renewa bleContent	Regional. All CleanChoice products are sourced from 100% renewable energy.					
Estimated Start Date	This contract will be effective from the first meter read date on or after the first of the next month (or the current date)					
Contract End Date	[Contract End Date]					
Fees	[CANCELI	ATION FEE	=]			
Late Payment Fee			each invoice 15% late pay			
Renewal	Yourcontra	act will renew	wat the end o	of the term. Y	ou will receiv	ve notice
au			not less thai	n 30 days no	r more than	60 days
Date Contract End Date Fees Late Payment Fee	the first off [Contract E [CANCELI The custor date or be Your contra of the pend	he next mon End Date] LATION FEE mer will pay of subject to a act will renew	th (orthe cur =] each invoice 15% late pay wat the end c I not less than	in full within 2 ment charge of the term. Y	20 days of th e per month. 'ou will receiv	e invoice

Fuel Source	Your Plan	Region al Utility Mix	
Non-Renewable I	Enerav		
Gas	0.000%	37.078 %	
Nuclear	0.000%	33.187 %	
Oil/Gas	0.000%	8.884%	
Hvdro	0.000%	7.454%	
Coal	0.000%	5.586%	
Renewable Ener1	1v		
Wood/Refuse	0.000%	3.172%	
Refuse	0.000%	2.438%	
Wind	100.00%	1.576%	
Landfill Gas	0.000%	0.336%	
Steam	0.000%	0.149%	
Solar	0.000%	0.107%	
Methane/Refuse	0.000%	0.032%	
Total	100%	100%	

- Initial Term: The Initial Term is the time period during which you will receive a fixed price (if you have a fixed term Agreement with us).
- kWh: A kilowatt-hour. This is a measurement of your use of electricity.
- Transmission Charge: Charge for moving high voltage electricity from a generation facility to the distribution lines of an EDC.
   Pricing

Fixed Price Agreement-You are enrolled on a fixed price plan for 12 months. Your price is for the kWh described in the Product Summary. This price includes Transmission Charges and estimated total state taxes, including gross receipts tax, but excludes federal, state and local taxes (other than state gross receipts tax). Your price described here excludes charges imposed by your utility or government agencies including but not limited to transmission, distribution, transportation, the system benefits charge, stranded cost recovery charge, and taxes. The price detailed in the product summary above will be the price you pay plus all additional state and local taxes.

-OR-

Month-to-Month Variable Price Agreement -You will be enrolled on a month-to-month product, the price is subject to change each month. Your price for the initial month is the price per kWh described in the Product Summary. This price includes Transmission Charges and estimated total state taxes, including gross receipts tax, but excludes federal, state and local taxes (other than state gross receipts tax). Your price described here excludes charges imposed by your utility or government agencies including but not limited to transmission, distribution, transportation, federal state and local taxes, and other fees. The price detailed in the product summary above will be the price you pay plus all additional state and local taxes. The price may vary on a monthly basis beginning after the first month, with no advance notice, based on an evaluation of a number of factors that affect your total price of electricity. These factors may include, but are not limited to: the cost to supply electricity in ISO New England, LLC market (including energy, capacity, settlement, ancillary services, renewable energy, Distribution Charges and other ISO New England, LLC market-related factors); applicable fees, charges, costs and expenses; expected margins; competitive prices and other market and business conditions. To learn your current variable price, please call us at 1-888-444-9452. Length of Contract

Month-to-Month Variable Price Agreement - You will buy your electricity generation service for the street address specified in your enrollment authorization from CleanChoice beginning on the date set by [utility] {your EDC) and will continue on a month-to-month basis, until cancelled by you or CleanChoice as described in this Agreement -OR-

Fixed Price Agreement - You will buy your electricity generation service for the street address specified in your enrollment authorization from CleanChoice beginning on the date set by [utility] (your EDC) and will continue for one year, until cancelled by you or CleanChoice as described in this Agreement.

# Product

Electricity is the product of a mix of generation energy sources that is delivered over a system of wires. You will not have electricity from a specific generation facility delivered directly to your service address, but CleanChoice ensures that the applicable percentage of your electricity usage is offset by the generation of energy from renewable resources on an annual basis. CleanChoice does so by purchasing and retiring "renewable energy certificates" representing the environmental attributes associated with the applicable amount of renewable energy generation from the renewable sources specified for your product. Your purchase helps support the development and operation of renewable energy in the areas specified in your product. CleanChoice may take up to three months following the close of a calendar year to make up any deficiency in the renewable resource content for this product. Information on generation energy sources for your product energy efficiency, environmental impacts or historical billing data is available upon request.

# **Rescission and Effective Date**

Residential customers and small commercial customers shall have 3 business days from the date of personal or electronic delivery of the written terms of service statement to rescind authorization; and residential customers and small commercial customers receiving the terms of service statement via the United States postal service shall have 5 business days from the postmarked date to rescind authorization. You may rescind this Agreement by calling CleanChoice at 1-888-444-9452, emailing support@cleanchoiceenergy.com or in writing to CleanChoice Rescission 1055 Thomas Jefferson St. NW Ste. 650, Washington, DC 20009. This Agreement will be effective after the rescission period has passed without you notifying CleanChoice or your distribution utility of your intent to rescind this Agreement.

Cancellation and Termination of Service

*Customer Initiated Cancellation:* Customer is requested to provide 30-days' notice to cancel this Agreement to purchase electricity. To cancel this Agreement, please call Clean Choice at 1-888-444-9452 oremail support@clean choice energy.com. You will be responsible for all Clean Choice charges incurred up to the date of cancellation.

- Initial Term: The Initial Term is the time period during which you will receive a fixed price (if you have a fixed term Agreement with us).
- kWh: A kibwatt-hour. This is a measurement of your use of electricity.
- Transmission Charge: Charge for moving high voltage electricity from a generation facility to the distribution lines of an EDC.
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Fixed Price Agreement - You will buy your electricity generation service for the street address specified in your enrollment authorization from CleanChoice beginning on the date set by [utility] (your EDC) and will continue for one year, until cancelled by you or CleanChoice as described in this Agreement.

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# Cancellation and Termination of Service

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Non-Payment: If your electric service is terminated by your utility, then this Agreement is cancelled on the date that your electric service is terminated. You will owe us for amounts unpaid for our charges for electric generation service up to the date of termination.

Company Initiated Cancellation: We may cancel this Agreement for any reason other than arbitrary and illegal discrimination based on personal characteristics of the customer. If we cancel this Agreement, we will prove you with a written notice 30 days inadvance and your service will return to your utility's default electric supply service unless you choose another supplier. *Relocation/Move:* If you move from the address listed above or are unable to pay due to disability or death, you may terminate this Agreement with no cancellation fee. You will owe us for amounts unpaid for our charges for electric generation service up to the date of termination.

Penalties, Fees and Exceptions

THERE IS A \$[CANCELLATION FEE] CANCELLATION FEE FOR THIS PLAN.

THERE IS A \$ [MONTHLY FEE] MONTHLY FEE FOR THIS PLAN.

Late Payment Late payment fees may apply for failure to pay your bill within 20 days of the invoice date.

CleanChoice does not charge extra for net-metering.

**Billing and Payment** 

You will receive a single bill from [UTILITY] (your EDC) that will contain separate charges from both [UTILITY] and CleanChoice. We will charge you the generation price and fees as described above. Your price described here excludes charges imposed by your utility or government agencies including but not limited to transmission, distribution, transportation, federal state and local taxes, and other fees. Payment is due to the billing utility within 25 days of the invoice date. Failure to pay your bills on time may result in late payment penalties and in discontinuation of service. Budget billing is available as offered by your utility. *Payment Assistance* - Your utility has programs available to customers who are on a limited or fixed income to assist them with utility bills. Some of these programs might include bill payment assistance and Y1eatherization services. Information on your utility's payment assistant program(s) can be obtained by contacting them at [UTILITY PHONE NUMBER].

A list of social service agencies and programs available to bw income customers for bill payment assistance can be found at www.puc.state\_nh.us

Taxes

Except as otherwise provided in this Agreement or required by law, all applicable state and federal taxes of whatsoever kind, nature and description, due and payable with respect to your performance of your obligations under this Agreement, will be paid by you.

Renewal/Expiration

Variable Agreement: Your service is a month-to-month service until cancelled or changed under the terms of this agreement. Pricing will follow the Month-To-Month Variable Pricing Agreement as described above. Your contract will renew at the end of the term.

-OR-

Fixed: Your service is Fixed service until cancelled or changed under the terms of this agreement. Pricing will follow the Fixed Pricing Agreement as described above. Your contract will renew at the end of the term. You will receive notice of the pending renewal 45 days before the automatic renewal is schedule to occur.

# Complaint/Dispute Procedures

If you have any questions, concerns or complaints about your bill, please contact us by calling our Customer Care Representatives at 1-888-444-9452 or by emailing us at <u>support@cleanchoiceenergy.com</u>. If for any reason you are not satisfied with our response, you may contact the New Hampshre Public Utilities Commission Consumer Affairs Division at 21 S. Fruit St, Suite 10 Concord, NH03301-2429 or by calling 1-800-852-3793.

Power Outages and Emergencies

han electrical emergency or a power outage, immediately contact: [UTILITY] at [UTILITY EMERGENCY PHONE]. Limitations of Liability and Warranty/Force Majeure

YOU AGREE THAT EVENTS OF FORCE MAJEURE MAY RESULT IN INTERRUPTIONS IN SERVICE AND THAT WE WILL

NOT BERESPONSIBLE FOR SUPPLYING ELECTRICITY TO YOU AND WE WILL NOT BELIABLE FOR THOSE INTERRUPTIONS. YOU AGREE THAT OUR LIABILITY NOT EXCUSED BY REASON OF FORCE MAJEURE OR OTHERWISE WILL BELIMITED TO DIRECT ACTUAL DAMAGES ONLY; AND NEITHER OF US IS LIABLE TO THE OTHER FOR CONSEQUENTIAL, INCIDENTAL, PUNITIVE, EXEMPLARY OR INDIRECT DAMAGES. WE MAKE NO REPRESENTATIONS OR WARRANTIES AND WE EXPRESSLY DISCLAIM ALL OTHER WARRANTIES, WRITIEN OR ORAL, EXPRESS OR IMPLIED, INCLUDING ANH WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. Disclosure of Customer Information By entering into this Agreement, you authorize CleanChoice to obtain and share information that we may need to provide electricity service to you, including your account information, usage history, billing and payment history, credit history, rate class, meter reading data, account number, address, phone, whether you are on a budget billing plan, and medical or disability status requiring uninterrupted service. We will not give or sell your personal information to any unaffiliated third-party unless you provide us with your consent, as well as a written statement, or unless we are required to do so by law. By entering into this Agreement, you authorize CleanChoice to take such actions as may be necessary to establish your EDC services with [UTILITY] (your EDC). You also authorize CleanChoice to protect your privacy at all times including under the FTC's Do Not Call requirements. If you would like to be added to the National Do Not Call Registry, please visit https://www.donotcall.gov/Register/Reg.aspx An updated Privacy Policy for usage of CleanChoice's websites is maintained at

# http://cleanchoiceenergy.com/policies/privacy

# Title, Risk of Loss and Indemnity

Title to the electricity will pass from CleanChoice to you when we have delivered it to the delivery point for [UTILITY] (your for your EDC.

#### **Regulatory Changes**

If there is a future change in any applicable law, rule, regulation, order, filed tariffs, market rules or pricing structure whereby CleanChoice is prevented, prohibited or frustrated from carrying out the terms of this Agreement, in its sole discretion CleanChoice will have the right to cancel this Agreement by giving notice to you as required under applicable law.

#### **Other Provisions**

This Disclosure Statement (including these General Terms, Product Summary, and Product Label) along with your enrollment authorization or renewal letter constitutes your entire Agreement between you and Clean Choice with regard to your purchase of electric generation and other related services from CleanChoice. This Agreement supersedes all prior agreements between us, etherwritten or oral. This Agreement is subject to any Law enacted during the term of this Agreement. "Law" means any law, legislation, statute, regulation, rule, tariff, decision, writ, order, decree or judgment, or any interpretations by any court, agency or instrumentality that has jurisdiction. You may not assign this Agreement, in whole or in part, or any of your rights or obligations under this Agreement without our prior written consent. Clean Choice may not, pursuant to the provisions of PUC 2004.05(k) and (I): (i) assign, sell or pledge this Agreement or its accounts, revenues or proceeds, as a part of any financing or other financial arrangements, purchase of receivables program or billing services agreement; or (ii) assign this Agreement to an affiliate of CleanChoice; or (ii) assign this Agreement to any other person or entity succeeding to all or a substantial portion of the assets of CleanChoice or a competitive electricity supplier deemed eligible to do business in NH without providing you with at least fourteen (14) days' written notice that 1) it intends to discontinue service; 2) that unless you select an alternate CEPS or return to default service, you will be assigned to the proposed transferee or purchaser; 3) the date that CleanChoice will discontinue providing service to you; 4) that the change shall be without charge to you; 5) a clear statement of: a) any difference in the rates, terms or conditions of the service from CleanChoice and the rates, terms or conditions of service of the proposed transferee or buyer; or b) that the rates, terms and conditions of service of CleanChoice and the proposed transferee or buyer are the same; 6) The name, address, telephone number, and e-mail address of the proposed transferee or buyer if you do not select an alternate CEPS within the prescribed time period; or 7) the time period within which you shall make a selection of any alternate CEPS or be returned to default service, if different than the date CleanChoice will cease to provide service to you. This Agreement is binding upon the parties and their respective successors and assigns. There are no third party beneficiaries to this Agreement. This Agreement will be governed by the laws of the NH without regard to the application of its conflicts of law principles. Venue for any lawsuit brought to enforce any term or condition of this Agreement will lie exclusively in NH.

#### Supplier Info

CleanChoice Energy, Inc. 1055 Thomas Jefferson ST NW STE 650 Washington, DC 20009

1-888-444-9452 http://www.cleanchoiceenergy. com/ support@cleanchoiceenergy. com Utility Info [UTILITY INFO]

Commission Info New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 1-800-852-3793